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| From: | Omkar K. Suryadevara Tel: 408/982-8203 Fax: 408/982-8210 | | | | | | |
| Pages | 22 | 22 (excluding cover sheet) | | | | | |
| Inventor | rs: | Jason Dove et a | al | | | | |
| Title: | | Backplane Bus | | | | | |
| Application (| | 09/874,402 | Confirmation | 4498 | | | |
| Examiner: | | Prenell Jones | | | | | |

Enclosed for filing in the above-identified application are the following:

Transmittal Letter (1 page); Information Disclosure Statement (2 pages); PTO Form 1449 (3 pages); Copies of 6 cited references (16 pages)

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| | | persons an | Application Number | 09/874,402 | 2 | |
| TRANSMITTAL | | | Filing Date | June 4, 2001 | | |
| FO | RM | | First Named Inventor | Jason Dov | e | |
| | | | Group Art Unit | 2662 | | |
| (to be used for all corresp | ondence after initia | l filing) | Examiner Name | Prenell Joi | nes | |
| Total Number Of Pages In This | | 22 | Attorney Docket No. | CLX023 U | s | |
| | | ENCLOS | SURES (check all that | apply) | | |
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| Fee Attached Payment Form (| | <u> </u> | awing(s) | . 🗆 | Appeal Commo | inication to Board of terferences |
| Amendment / Reply | | Lic | censing-related Papers | | Appeal Commi (Appeal Notice, E | unication to Group iner, Reply Brief) |
| After Final | | Pe | etition | | Proprietary Info | rmation |
| Affidavits/dec | larations | L Pr | etition to Convert to a ovisional Application | | Status Letter | |
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| duplicate) | | | eminal Disclaimer | | | orm (3 pages): ited references |
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| Information Disclosu pages) | re Statement (2 | cı | D, Number of CD(s) | | | |
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| Response to Missing Incomplete Application | | | | | | |
| Response to | Missing Parts R 1.52 or 1.53 | | • | | • | |
| | | <u></u> | | | | |
| Firm | | | APPLICANT, ATTOR | NEY OR AG | ENT | |
| or | Silicon Valley | | i (Reg. No. 36,320) froup LLP | | | |
| Individual Name | - | | Boulevard, Suite 360 | | | |
| | Santa Clara, C | alifornia | 95054 | | | |
| Signature | S. Qw | yar_ | | | | |
| Date | March 4, 2005 | . | | | | |
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| Attorney for Appl | | of Signatur | | | | |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventors:

Jason Dove

CENTRAL FAX CENTER

Assignee:

Calix Networks, Inc.

MAR 0 4 2005

Title:

Backplane Bus

Serial No.:

09/874,402

Filing Date:

June 4, 2001

Examiner:

Prenell Jones

Group Art Unit:

2667

Docket No.:

CLX023 US

Confirmation No:

4498

Santa Clara, California March 4, 2005

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, §1.97 and §1.98, the Applicants submit for consideration in the above-identified patent application the documents listed on the accompanying Form PTO-1449. Document numbered 46-51 in the PTO-1449 are attached hereto. The Examiner is requested to make these documents of record. The references numbered 1-45 in the PTO-1449 are not attached hereto, because these references are issued patents or publications which are readily available in the U.S. Patent and Trademark Office.

In addition, Applicants submit for the Examiner's consideration, the prosecution histories of the following co-owned applications/patents, cited by serial number, first named inventor and filing date. Applicants presume that the Examiner has access to and will review the cited applications/patents and the files thereof for any office actions, amendments or other materials that may be relevant to the patentability of the claims of the present application.

Citation of these prosecution histories (including the arguments against patentability advanced by Examiners in their respective Office Actions and the Applicants' arguments in the corresponding Amendments) is in accordance with the recent case DAYCO PRODUCTS,

PATENT GROUP LLF 2350 Mission College Bivd. Seize 360 Sinte Chen, CA 95054 (408) 982-8200 FAX (408) 982-8210

Application No. 09/874,402

INC. v. TOTAL CONTAINMENT, INC., 02-1497, decided May 23, 2003 by the Court of Appeals for the Federal Circuit. If the Examiner needs a copy of the Dayco case, please call the undersigned.

For any of the following U.S. patent application(s) that are currently pending, the Applicants further presume that the Examiner will consider any future office actions, amendments or other materials in the file thereof that may be relevant to the patentability of the claims herein. If the Applicants' understanding in this regard is not correct, please notify the undersigned so that copies of any such documents can be submitted to the Examiner.

| | Serial No.: | First Named Inventor | Date: |
|----|-------------|----------------------|----------|
| 1. | 09/874,904 | Jason Dove | 06/04/01 |
| 2. | 09/874,352 | Jason Dove | 06/04/01 |
| 3. | 09/874,348 | Ying Zhang | 06/04/01 |
| 4. | 09/872,851 | Madan Manoharan | 06/01/01 |
| 5. | 09/874,395 | James W. Jones | 06/04/01 |

This Information Disclosure Statement is submitted pursuant to 37 CFR §1.97(b) as it before the mailing of a first Office Action on the merits. Accordingly, no fee is required. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

Note that documents 1-23 in the PTO-1449 were cited in 09/874,352, documents 24-26 in the PTO-1449 were cited in 09/874,348, documents 27-41 in PTO-1449 were cited in 09/872,851, and documents 42-45 in PTO-1449 were cited in 09/874,395. Note also that documents 46 and 47 in the PTO-1449 are from a PCT application based on the current application (i.e. 09/874,402).

The information contained in this Information Disclosure Statement is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office to the fax number 703-872-9306 on March 4, 2005.

Attorney for Applicant(s)

March 4, 7005

Date of Signature

Respectfully submitted.

Omkar K. Suryadevara Attorney for Applicant(s) Reg. No. 36,320

. 110. 50,520

Application No. 09/874,402

PATKNT GROUP LAP 2390 Missian Chiloge Bivd. Sains 360 Santa Cars., CA 95054 (408) 982-8200 FAX (408) 982-8210

Sheet 1 of 3

| Application No.: | 09/874,402 |
|-----------------------|---|
| Filing Date: | June 4, 2001 |
| First Named Inventor: | Jason Dove |
| Group Art Unit: | 2667 |
| Examiner Name: | Prenell Jones |
| Confirmation No.: | 4498 |
| Attorney Docket No.: | CLX023 US |
| | Filing Date: First Named Inventor: Group Art Unit: Examiner Name: Confirmation No.: |

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Examiner:

Date Considered:

^{*} Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication with applicant.

Sheet 2 of 3

| U.S. Department of Commerce, Patent and Trademark Office | Application No.: | 09/874,402 |
|--|-----------------------|---------------|
| 0.3. Department of Confidence, Latent and Trademan Care | Filing Date: | June 4, 2001 |
| | First Named Inventor: | Jason Dove |
| · | Group Art Unit: | 2667 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Examiner Name: | Prenell Jones |
| (Use several sheets if necessary) | Confirmation No.: | . 4498 |
| | Attorney Docket No.: | CLX023 US |

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Sheet 3 of 3

| U.S. Department of Commerce, Patent and Trademark Office | Application No.: | 09/874,402 |
|--|-----------------------|---------------|
| | Filing Date: | June 4, 2001 |
| | First Named Inventor: | Jason Dove |
| | Group Art Unit: | 2667 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Examiner Name: | Prenell Jones |
| (Use several sheets if necessary) | Confirmation No.: | 4498 |
| | Attorney Docket No.: | CLX023 US |

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^{*} Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication with applicant.

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